

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

PATENT

In re application of: Robert R. Karpman
Thomas M. Hansen
Anna G. U. Brantley

Docket No.: 29763.0100

Serial No.: Not yet assigned Group Art Unit: Not yet assigned

Filed: Not yet assigned Examiner: Not yet assigned

TITLE: METHOD AND APPARATUS FOR DELIVERING MATERIAL TO A
DESIRED LOCATION

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled METHOD AND APPARATUS FOR DELIVERING MATERIAL TO A DESIRED LOCATION, the specification of which:

☒ [X] is attached hereto.

☐ [] was filed on _____ as Application Serial No. _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed

[]

Number

Country

Filing Date

09191915-11398

[]

Number_____
Country_____
Filing Date

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below.

Application Number_____
Filing Date_____
Application Number_____
Filing Date

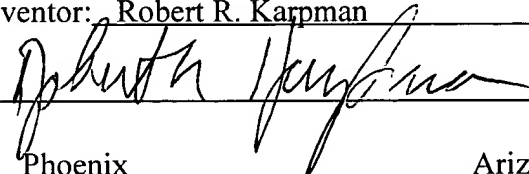
I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No._____
Filing Date_____
Status -- Patent, Pending, Abandoned_____
Application Serial No._____
Filing date_____
Status -- Patent, Pending, Abandoned

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first joint inventor: Robert R. Karpman

Inventor's signature



Date

11/12/98

Residence:

PhoenixArizona

City

State

Citizenship:

United States

09191915-11398

Post Office Address: 532 West State Avenue Zip Code 85021

Full name of first joint inventor: Thomas M. Hansen

Inventor's signature *Thomas M. Hansen* Date 11/11/98

Residence: Phoenix Arizona
City State

Citizenship: United States

Post Office Address: 3920 East Monterosa Street Zip Code 85018

Full name of first joint inventor: Anna G. U. Brantley

Inventor's signature *Anna Brantley* Date 11/11/98

Residence: Tempe Arizona
City State

Citizenship: ~~United States~~ Sweden / PB 11/11/98

Post Office Address: 87 East Maria Lane Zip Code 85284

06191500

PATENT

Serial No.: Not yet assigned Filed: Not yet assigned
Inventor(s): Robert R. Karpman
Thomas M. Hansen
Anna G. U. Brantley
Assignee(s): Harrington Arthritis Research Center Docket No.: 29763.0100
Title: METHOD AND APPARATUS FOR FEE DUE DATE: Not yet assigned
DELIVERING MATERIAL TO A
DESIRED LOCATION

**VERIFIED STATEMENT (DECLARATION) CLAIMING
SMALL ENTITY STATUS UNDER 37 C.F.R. §1.9(f) AND 1.27(c) --
SMALL BUSINESS CONCERN**

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

I hereby declare that I am:

- ☐ the owner of the small business concern identified below.
☒ an official of the small business concern empowered to act on behalf of the concern identified below.

NAME OF CONCERN: HARRINGTON ARTHRITIS RESEARCH CENTER

ADDRESS OF CONCERN: 300 North 18th Street, Phoenix, Arizona 85006

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 C.F.R. §§121.3-18, and reproduced in 37 C.F.R. §1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

METHOD AND APPARATUS FOR DELIVERING MATERIAL TO A DESIRED LOCATION

by inventor(s) Robert R. Karpman; Thomas M. Hansen; Anna G. U. Brantley

described in:

09191915 "111398
86ET15"ST6T60

[X] the specification filed herewith
[] application serial no. _____, filed _____
[] patent no. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 C.F.R. §1.27)

NAME: _____

ADDRESS: _____

[] Individual [] Small Business Concern [] Nonprofit Organization

NAME: _____

ADDRESS: _____

[] Individual [] Small Business Concern [] Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.28(b))

I hereby declare that all statements made herein are of my own knowledge, are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: ROBERT L. CASE

TITLE OF PERSON OTHER THAN OWNER: Chief Executive Officer

ADDRESS OF PERSON SIGNING: 300 North 18th Street, Phoenix, Arizona 85006

SIGNATURE: *Robert L. Case* DATE 11-11-98

SNELL & WILMER L.L.P.

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